



Signature

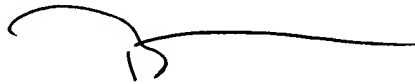
- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- ☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
- ☐ before the mailing date of a first Office Action on the merits; or
- ☒ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

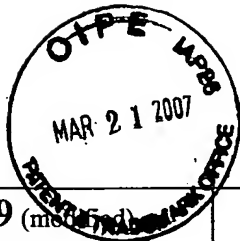
If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5760-16000.

Respectfully submitted,



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Date: 3-19-07



Form PTO-1449 (modified)
 List of Patents and Publications
 For Applicant's Information
 Disclosure Statement
 (Use several sheets if necessary)

ATTY. DKT. NO. 5760-16000

SERIAL NO. 10/723,729

APPLICANT: Borthakur et al.

GROUP: 2163

FILING DATE: November 26, 2003

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	C1	2006/0004759	5/26/05	Borthakur et al.			
	C2	2006/0004787	1/5/06	Borthakur et al.			
	C3	2005/0114363	5/26/05	Borthakur et al.			
	C4	2003/0154271	8/14/03	Baldwin et al.			
	C5	2004/0225730	11/11/04	Brown et al.			
	C6	6,026,474	2/15/00	Carter et al.			
	C7	2003/0093556	5/15/03	Yeung et al.			
	C8	2003/0151633	8/14/03	George et al.			
	C9	6,240,401	5/29/01	Oren et al.			
	C10	2001/0025311	9/27/01	Arai et al.			
	C11	2003/0172368	9/11/03	Alumbaugh et al.			
	C12	2004/0002942	1/1/04	Pudipeddi et al.			
	C13	2004/0059866	3/25/04	Patel et al.			
	C14	6,970,866	11/29/05	Pravetz et al.			
	C15	2005/0114381	5/26/05	Borthakur et al.			
	C16	2005/0038813	2/17/05	Apparao et al.			
	C17	2002/0049731	4/25/02	Kotani			
	C18	6,240,429	5/29/01	Thornton et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	C19	"The Business Rationale for Distributed (Remote) Document Capture," Verity White Paper, October 2003, downloaded from http://www.cardiff.com/cms/groups/public/documents/collateral/mk0535.pdf , 12 pages.					
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5760-16000 APPLICANT: Borthakur et al. FILING DATE: November 26, 2003		SERIAL NO. 10/723,729 GROUP: 2163		
U.S. PATENT DOCUMENTS						
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS SUB CLASS	FILING DATE IF APPROPRIATE
	C20	"Native XML Management With Documentum," Documentum Technical White Paper, July 2003, downloaded from http://software.emc.com/collateral/content_management/documentum_family/wp_tech_xml.pdf , 15 pages.				
	C21	Autonomy XML White Paper, October 2003, downloaded from http://www.autonomy.com/content/downloads/White%20Papers/index.en.html , 11 pages.				
	C22	Autonomy Technology White Paper, November 2003, downloaded from http://www.autonomy.com/content/downloads/White%20Papers/index.en.html , 19 pages.				
	C23	"DCMI Abstract Data Model," Dublin Core Metadata Initiative, February 2004, downloaded from http://www.ukoln.ac.uk/metadata/dcmi/abstract-model/2004-02-04/ , 17 pages.				
	C24	Staples et al., "The Fedora Project: An Open-source Digital Object Repository Management System," in D-Lib Magazine, v. 9, no. 4, April 2003, downloaded from http://web.archive.org/web/20040203065018/www.dlib.org/dlib/april03/staples/04staples.html , 12 pages.				
	C25	Wildermuth, "A Developer's Perspective on WinFS: Part 1," March 2004, downloaded from http://web.archive.org/web/20050414063209/http://msdn.microsoft.com/data/winfs/default.aspx?pull=/library/en-us/dnwinfst/html/winfsdevpersp.asp , 10 pages.				

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Information Disclosure Statement--PTO 1449 (modified)